



Recovering the initial state of a Well-Posed Linear System with perturbed skew-adjoint generator

Ghislain Haine

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- ➊ Introduction
- ➋ Idea of the reconstruction algorithm
- ➌ Main result
- ➍ Application
- ➎ Conclusion

1 Introduction

2 Idea of the reconstruction algorithm

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For instance:

$\mathcal{A} = -i\Delta$ (+ Dirichlet boundary conditions) on $\Omega \subset \mathbb{R}^n$ and $X = H_0^1(\Omega)$.



the classical Schrödinger's equation with constant potential.

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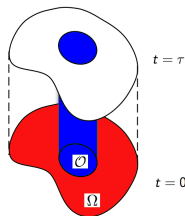
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with locally distributed observation:

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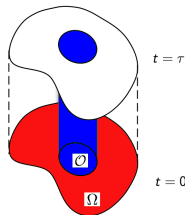
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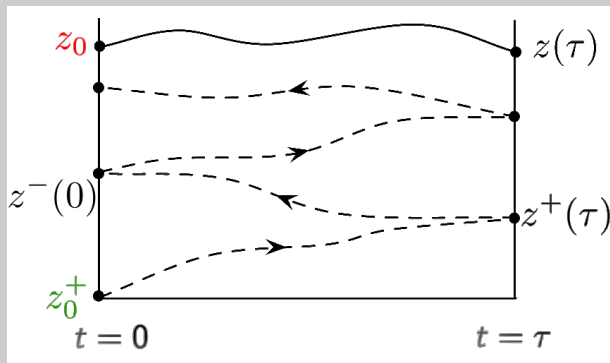


Our problem

Reconstruct the unknown z_0 from the measurement $y(t)$.

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Intuitive representation



2 iterations, observation on $[0, \tau]$.

We construct the **forward observer**

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$$e = z^+ - z,$$

the estimation error,

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which is known to be exponentially stable if and only if (A, C) is exactly observable, *i.e.*

$$\exists \tau > 0, \exists k_\tau > 0, \int_0^\tau \|y(t)\|^2 dt \geq k_\tau^2 \|z_0\|^2, \quad \forall z_0 \in \mathcal{D}(A).$$

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$$\begin{cases} \dot{z}^-(t) = Az^-(t) + C^*Cz^-(t) - C^*y(t), & \forall t \in [0, \tau], \\ z^-(\tau) = z^+(\tau). \end{cases}$$

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After a time reversal $Z^-(t) = \mathfrak{A}_\tau z^-(t) := z^-(\tau - t)$, we get

$$\begin{cases} \dot{Z}^-(t) = -AZ^-(t) - C^*CZ^-(t) + C^*y(\tau - t), & \forall t \in [0, \tau], \\ Z^-(0) = z^+(\tau). \end{cases}$$

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And from similar computations for $A^- := -A - C^*C$ as those for $A^+ := A - C^*C$:

$$\|z^-(0) - z_0\| \leq Me^{-\beta\tau} \|z^+(\tau) - z(\tau)\| \leq M^2 e^{-2\beta\tau} \|z_0^+ - z_0\|.$$

If the system is exactly observable in time $\tau > 0$, that is if:

$$\exists k_\tau > 0, \int_0^\tau \|\mathbf{y}(t)\|^2 dt \geq k_\tau^2 \|\mathbf{z}_0\|^2, \quad \forall \mathbf{z}_0 \in \mathcal{D}(A),$$

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Iterating n -times the forward-backward observers with $\mathbf{z}_n^+(0) = \mathbf{z}_{n-1}^-(0)$ leads to

$$\|\mathbf{z}_n^-(0) - \mathbf{z}_0\| \leq \alpha^n \|\mathbf{z}_0^+ - \mathbf{z}_0\|.$$

This is the iterative algorithm of Ramdani, Tucsnak and Weiss to reconstruct \mathbf{z}_0 from $\mathbf{y}(t)$.

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Questions

- Given arbitrary C and $\tau > 0$, does the algorithm converge ?
- If it does, what is the limit of $\mathbf{z}_n^-(0)$ and how is it related to \mathbf{z}_0 ?

Decomposition of X :

- Let us denote Ψ_τ the following continuous linear operator

$$\begin{array}{rclcl} \Psi_\tau & : & X & \longrightarrow & L^2([0, \tau], Y), \\ & & \textcolor{red}{z_0} & \longmapsto & \textcolor{blue}{y(t)}. \end{array}$$

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- We decompose $X = \text{Ker } \Psi_\tau \oplus (\text{Ker } \Psi_\tau)^\perp$ and define

$$V_{\text{Unobs}} = \text{Ker } \Psi_\tau, \quad V_{\text{Obs}} = (\text{Ker } \Psi_\tau)^\perp = \overline{\text{Ran } \Psi_\tau^*}.$$

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Note that the exact observability assumption is equivalent to Ψ_τ is bounded from below and then $\Rightarrow X = \text{Ran } \Psi_\tau^*$.

Stability of the decomposition under the algorithm:

Let us denote \mathbb{T}^+ (resp. \mathbb{T}^-) the semigroup generated by $\mathcal{A}^+ := \mathcal{A} - C^*C$ (resp. $\mathcal{A}^- := -\mathcal{A} - C^*C$) on X .

- Forward-backward observers cycle \Rightarrow operator $\mathbb{T}_\tau^- \mathbb{T}_\tau^+$, i.e.

$$z^-(0) - z_0 = \mathbb{T}_\tau^- \mathbb{T}_\tau^+ (z_0^+ - z_0),$$

obtained thanks to the fact that $A^\pm = \mathcal{A}^\pm \pm \alpha I$ generates the semigroup $e^{\pm \alpha t} \mathbb{T}_t^\pm$.

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- Denote \mathbb{S} the group generated by \mathcal{A} , then (since $\mathcal{A} = \mathcal{A}^+ + C^*C$)

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- Using this (type of) Duhamel formula(s), we obtain

$$\mathbb{T}_\tau^- \mathbb{T}_\tau^+ V_{\text{Unobs}} \subset V_{\text{Unobs}}, \quad \mathbb{T}_\tau^- \mathbb{T}_\tau^+ V_{\text{Obs}} \subset V_{\text{Obs}}.$$

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The algorithm preserves the decomposition of X !

Theorem

Denote by Π the orthogonal projection from X onto V_{Obs} . Then the following statements hold true for all $z_0 \in X$ and $z_0^+ \in V_{\text{Obs}}$:

- ❶ For all $n \geq 1$,

$$\|(I - \Pi)(z_n^-(0) - z_0)\| = \|(I - \Pi)z_0\|.$$

- ❷ The sequence $(\|\Pi(z_n^-(0) - z_0)\|)_{n \geq 1}$ is strictly decreasing and

$$\|\Pi(z_n^-(0) - z_0)\| = \|z_n^-(0) - \Pi z_0\| \xrightarrow{n \rightarrow \infty} 0.$$

- ❸ There exists a constant $\alpha \in (0, 1)$, independent of z_0 and z_0^+ , such that for all $n \geq 1$,

$$\|\Pi(z_n^-(0) - z_0)\| \leq \alpha^n \|z_0^+ - \Pi z_0\|,$$

if and only if $\text{Ran } \Psi_\tau^*$ is closed in X .

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Example

Consider the following Schrödinger's equation

$$\begin{cases} \frac{\partial}{\partial t} z = -\mathbf{i} \frac{\partial^2}{\partial x^2} z + \alpha z & \forall x \in (0, 1), t \geq 0, \\ z(t, 0) = z(t, 1) = 0 & \forall t \geq 0, \\ z(0, x) = z_0(x) & \forall x \in (0, 1), \end{cases}$$

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Observation

We observe the system on $(0, 0.1)$ during a time $\tau = 0.2$, *via* one of the three following ways

$$\begin{cases} y_1(t, x) = z(t, x)|_{x \in (0, 0.1)} & \forall t \in (0, 0.2), \\ y_2(t, x) = \operatorname{Re} z(t, x)|_{x \in (0, 0.1)} & \forall t \in (0, 0.2), \\ y_3(t, x) = i \operatorname{Im} z(t, x)|_{x \in (0, 0.1)} & \forall t \in (0, 0.2). \end{cases}$$

The algorithm reads, for all $n \in \mathbb{N}$, $k = 1, 2, 3$:

Forward observers:

$$\left\{ \begin{array}{ll} \frac{\partial}{\partial t} z_n^+ = -\mathbf{i} \frac{\partial^2}{\partial x^2} z_n^+ + \alpha z_n^+ - \gamma \chi z_n^+ + \gamma y_k & \forall x \in (0, 1), t \geq 0, \\ z_n^+(t, 0) = z_n^+(t, 1) = 0 & \forall t \geq 0, \\ z_n^+(0, x) = z_{n-1}^-(\tau, x) & \forall x \in (0, 1), n \geq 1, \\ z_1^+(0, x) = 0 & \forall x \in (0, 1), \end{array} \right.$$

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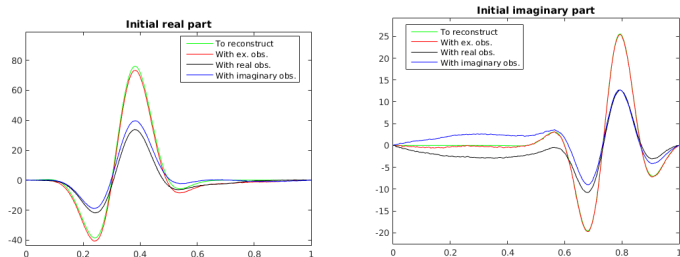
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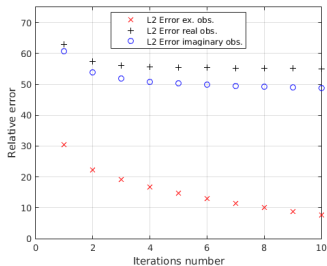
Backward observers:

$$\left\{ \begin{array}{ll} \frac{\partial}{\partial t} z_n^- = \mathbf{i} \frac{\partial^2}{\partial x^2} z_n^- - \alpha z_n^- + \gamma \chi z_n^- - \gamma \mathfrak{A}_\tau y_k & \forall x \in (0, 1), t \geq 0, \\ z_n^-(t, 0) = z_n^-(t, 1) = 0 & \forall t \geq 0, \\ z_n^-(0, x) = z_n^+(\tau, x) & \forall x \in (0, 1), n \geq 0, \end{array} \right.$$

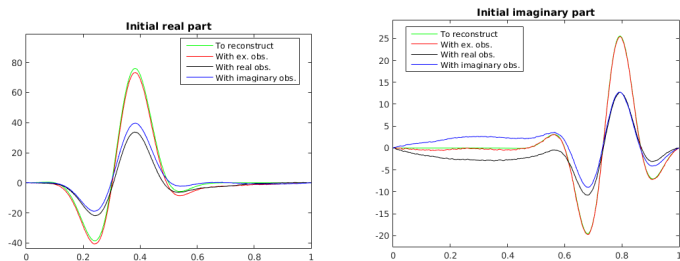
We test with $\alpha = \pm 15$ and 0, and find in the three cases



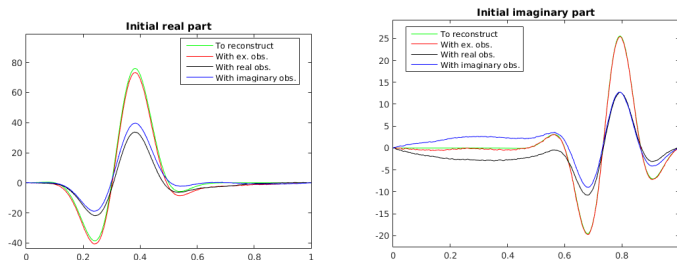
with the L^2 errors



Locally distributed perturbation on $(0.75, 1)$



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Conjecture

Let X and Y be Hilbert spaces. Assume that Σ is a well-posed linear system such that $A = \mathcal{A} + P$, for some $P \in \mathcal{L}(X)$ and skew-adjoint operator \mathcal{A} . Then the conclusions of the main theorem hold.

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More ?

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Still to be done:

- Stability of V_{Obs} and V_{Unobs} with noisy observation y
- More general perturbations

Thank you for your
attention